

**Sentinel Scheme Formal Review 2018**

<b>Rule Breach</b>	<b>Number</b>	<b>Sanctions Applied</b>	<b>Sanctions Not Applied <i>Sponsors Outcome Accepted or Insufficient Evidence</i></b>	<b>Successful Appeal</b>	<b>Unsuccessful Appeals</b>
<b>4.1</b> Any fraudulent or falsification of documentation or records relating to safe working	3	2	1		
<b>4.2</b> Any conviction related to theft or attempted theft of railway materials	1	1			
<b>4.3</b> Any breach in working hours by reporting or endeavouring to report for a shift of work, having previously undertaken a shift on MI within the last 12 hours (known as double-shifting); unless a risk assessment has been conducted by the Primary Sponsor and suitable controls implemented	21	12	9	1	2
<b>4.4</b> Attempting to cheat any assessment for a Sentinel managed competence and/or trying to gain access to an online or paper assessment for a Sentinel managed competence outside of an accredited assessment centre	15	6	9		
<b>4.5</b> Any event of presenting a falsified or altered Sentinel Smart Card, or claiming a false identify for the purposes of trying to gain entry on NRMI	8	5	3		1
<b>4.6</b> The infringement of any health and safety legislation or MI policies, standards or rules including the Lifesaving Rules deemed as reckless contravention and a requirement of Section 1.16 of the Sentinel Scheme Rules	20	9	11	1	1
<b>4.7</b> Any event of negligence which causes, or has the potential to cause loss, damage or injury;	19	9	10		2
<b>4.8</b> Any event of physical violence while at work	1	1			
<b>4.9</b> Any event of deliberate damage to MI property					
<b>4.10</b> Any allegation of a breach of the Sentinel Scheme Rules which is found to be false and is proven to have been made with malicious intent					
<b>4.11</b> Any other event that fails to adhere to the Sentinel Scheme Rules	18	5	13	1	
<b>Total</b>	<b>106</b>	<b>50</b>	<b>56</b>	<b>3</b>	<b>6</b>