



On-Track Plant Operations Scheme (POS)

POS Outcome Guidelines – 06 Jan16

POS Outcome Guidelines – Section 6 of Module P521

When Network Rail receives notification of an alleged breach of the POS Rules these will be subject to Formal Review by the POS Review Panel. The Panel will consider the evidence before proceeding to one of the following decisions:

- No further action required.*
- Further investigation by Network Rail is required.*
- Evidence indicates a breach by the PC of their PC Licence; evidence is submitted to Network Rail PC Licensing Team for consideration.*
- Sufficient evidence is available to determine an Outcome from the POS Outcome Guidelines.*

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Event	POS Provider Action (Local Investigation)			Network Rail POS Review Panel Action (Formal Review)		
	Investigate and Report	Update Arrangements	Management Review	Approval Re-Audit	Restricted Scope of Work <i>*See Note</i>	POS Provider Suspension
Breach of POS Rules	Unknown breach or mistake (first occurrence)			Multiple Occurrence		
Breach in maintaining the POS rules approval requirements			Unknown breach	Single Occurrence	Multiple Occurrence	
Breach of Network Rail Approval or Engineering Acceptance limitations				Single Occurrence		
Breach of machine maintenance requirements and arrangements	First Occurrence			Single Occurrence	Multiple Occurrence	
Falsification of safety critical documentation e.g. MC/CC checklist				Single Occurrence /Deliberate Breach		
Infringement of Method Statement or OTP Plan	Unknown infringement or mistake (first occurrence)			Multiple Occurrence/ Deliberate Breach		
Negligence by OTP personnel leading to damage or injury	Unknown negligence or mistake (first occurrence)			Multiple Occurrence/ Deliberate Breach		
Breach in providing the correct or competent personnel required	Unknown breach or mistake (first occurrence)			Single Occurrence	Multiple Occurrence	
Breach in reporting or investigating an alleged POS Rules breach			Unknown breach	Single Occurrence /Deliberate Breach		
<i>*Note A Restricted Scope of Works may include specific machine(s) take-down</i>						

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Examples of Scheme Outcome Guidelines

- *Approval Re-Audit:*

May consist of all or part of the POS assurance requirement as described in the POS Assurance Flowchart. (Available on the RISQS-Achilles website and Safety Central).

- *Restricted Scope of Works*

May consist of OTP operations being conducted in accordance with an agreed improvement plan, enhanced site surveillance of a POS provider by Network Rail, special measures to provide Network Rail assurance of a POS provider's arrangements for a specific function or topic.

- *POS Provider Suspension*

May consist of a suspension of all or part of the POS Provider's approval status for a defined period of time.

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Plant Hire companies that have not achieved POS approved status remain able to conduct plant hire only activities providing they hold and maintain the necessary RISQS product codes.

Such organisations are able to hire their on-track plant (OTP), with or without machine operator, to an approved POS provider/Network Rail.

The POS provider remains responsible for participation in the planning, control and supervision of OTP operations as per the POS Rules.

The list of approved POS providers is available on the RISQS-Achilles website and on Safety Central

Further Information

- ▶ *For Queries relating to:*
- ▶ *On-Track Plant Operations Scheme and POS Review Panel contact: **POS@networkrail.co.uk***
- ▶ *Network Rail Principal Contractor Licence enquiries contact: **licencesubmission@networkrail.co.uk***
- ▶ *Achilles / RISQS registration of contractor community POS Providers contact: **RISQS@Achilles.com***